

403(b) SERVICE BASED CATCH-UP REQUEST FORM

- Please supply the information requested below.
- Read all agreements on this form before submitting.
- Fields having an asterisk notation are required.

IMPORTANT NOTICE: Before You Sign, Read All Information on this form:

Your employer's 403(b) plan permits eligible participants to utilize the Service Based Catch-Up provision. This catch-up permits employees with 15 or more years of service to contribute up to an additional \$3,000 beyond their base limit, allowing individuals over age 50 to contribute \$26,000 in 2014 (\$20,500 for employees under age 50.) In addition, a 403(b) participant must have contributed less than an average of \$5,000/year over the course of their employment. By submitting the electronic form below, you request that OMNI determine your eligibility to utilize the Service Based Catch-Up.

Employer Information

* Employer Name, City and State:

* Date of Hire:

Participant Information

* Social Security Number: * First Name: MI: * Last Name:

* Maiden/Former Name: * Date of Birth:

* Phone: * Email address:

Employee Signature (Mandatory)

By signing below, I hereby request that OMNI calculate my eligibility for the Service Based Catch-Up, and update my Maximum Allowable Contribution (MAC) based upon the outcome of such calculation(s). I understand that OMNI will monitor my MAC on an ongoing basis, and that I will retain the opportunity to utilize the Service Based Catch-Up until such a time as my eligibility has been exhausted.


Employee Signature: Date:

Please return this form to The OMNI Group, unless otherwise advised by your employer:

The OMNI Group - Water Tower Park • 1099 Jay Street, Building F • Rochester, NY 14611

Toll Free: (877) 544-OMNI ® • Fax: (585) 436-3633 Please visit our website at www.omni403b.com

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